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Review Article

Understanding Stuttering: A Taxonomic Review of Models, Paradigms, and Theories

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This review article attempts to provide a comprehensive examination of 57 models, theories, and paradigms that have shaped the understanding of stuttering. Stuttering, a complex speech disorder, is characterized by disruptions in fluency. Stuttering has been the subject of extensive research across multiple disciplines, including psychology, linguistics, and neurology. Existing frameworks can be categorized into domains like psychological models, which explore cognitive and emotional factors; neurological theories, focusing on brain structure and function; and social paradigms, emphasizing the role of environment and communication dynamics. Through a critical analysis of these diverse approaches, the interplay between their influences on stuttering is presented. The review also addresses the evolution of these theories over time, noting significant advancements and the integration of interdisciplinary perspectives. Their implications for clinical practice, particularly in the development of effective intervention strategies, are mentioned. By synthesizing current knowledge, this article seeks to foster a deeper understanding of stuttering and encourage future research that bridges gaps between theoretical frameworks and practical applications. Ultimately, the ongoing dialogue surrounding this multifaceted disorder and promoting collaborative efforts in the fields of speech-language pathology and related disciplines is promoted.

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Models simplify complex social phenomena, aiding in the understanding, explanation, and prediction of behaviors and outcomes. They function as analytical tools, helping researchers visualize relationships among various variables and processes. For instance, the multifactorial model considers genetic, neurological, developmental, environmental, and psychosocial factors in explaining stuttering. For example, the social model of disability argues that stuttering is influenced by societal attitudes and barriers, highlighting the importance of creating inclusive environments and support systems to enhance communication and reduce stigma^{[1][2]}.

Unlike models, theories offer systematic explanations. Grounded in specific principles, social learning theory, for example, aids in the interpretation of how social behavior is learned via observation and imitation, and guides research through testable hypotheses. Paradigms serve as broader frameworks that shape researchers' perspectives and methodologies, influencing key questions and research strategies. In stuttering research, the Psycholinguistic Paradigm examines cognitive and linguistic processes, while developmental paradigms differentiate typical from disfluent speech^{[3][4]}.

Need, Rationale, and Justification

There is no comprehensive source on stuttering theories. This research is vital for understanding mechanisms, filling research gaps, combating stigma, fostering empathy, and enhancing treatment and support systems.

Research Questions

There are unanswered questions in stuttering theory regarding the cataloging of ongoing and historical theories. How do these theories relate to current therapies and influence treatment practices? In what ways do they contribute to the stigma surrounding stuttering, and how can we change societal views? How can filling literature gaps advance research and provide empirical support for these theories? Why is it important to recognize cultural factors and past therapy effectiveness in enhancing speech-language pathology education and treatment approaches?

Objectives

To identify and analyze key themes in stuttering research, emphasizing their historical context and milestones. To create a timeline of their evolution, evaluate research trends, and identify literature gaps. To explore how historical studies have influenced current treatment practices, societal stigma, and cultural factors while assessing empirical evidence and providing recommendations for future research.

Method

Using PRISMA2020 guidelines, 150 original research publications up to December 2024 were analyzed^[5]. Excluded materials included descriptive essays, newsletters, magazines, unpublished dissertations, seminar proceedings, webinars, conferences, audiovisual content, and misleading references. Ethical considerations in stuttering research, such as respecting diversity, individual autonomy, informed consent, confidentiality, awareness of potential harm, emotional distress, and cultural sensitivity, were upheld^[6]. This analysis adhered to academic principles by creating a structured introductory review that articulated the purpose, objectives, and scope of the study, providing the necessary context. It was organized logically with headings and subheadings for easier navigation and included a critical examination of existing literature, highlighting key findings, trends, and research gaps. The conclusion encapsulated essential points and suggested areas for future research, accompanied by a flow diagram to illustrate the review process (Figure 1). The guidelines also outlined search strategies and the interpretation of findings^{[7][8]}.

PRISMA-narrative guidelines require a clear introduction, outlining objectives and rationale, formulating research questions, and developing a theoretical framework, along with stating a comprehensive search strategy, data extraction methods, and summarizing findings. A survey method was employed to gather research articles, reviews, and publications from linguistics, psychology, neuroscience, speech-language pathology, education, and communication sciences. Keywords such as theories, paradigms, and models in stuttering were utilized to search databases like Google Scholar, PsycINFO, and PubMed. The search strategy identified various sources, including books, journal articles, and websites, for data extraction. Details like authors, publication dates, titles, volume, issue, page numbers, and URLs were recorded following the 2021-APA-7 style. Accuracy checks ensured the precision of the reference list. Extracted data was organized in an Excel spreadsheet for easy access. Data synthesis involved reviewing and extracting relevant information to highlight main ideas, arguments, or results, with key points and themes summarized and compared across sources to maintain cohesive organization with proper citations in the designated style.

Procedure

After entering the raw data on reference listing in an Excel spreadsheet, the codification, categorization, and classification of the themes reflected by the titles included in the study were

generated and subjected to inter-observer reliability checks by involving two mutually blinded independent coders for at least a quarter of the entries in the overall sample of research articles to minimize the risk of bias, which yielded a robust correlation coefficient (r: 0.94). A descriptive and interpretative statistical analysis was carried out by applying measures of non-parametric statistics using IBM SPSS Statistics (Version 27). Effect sizes were analyzed using Cohen's guidelines as 0.93^[9], which is interpreted as an 'almost perfect agreement'^[10]. Face validity is found to be high for the classification of the thematic categories covered by the research papers.



Figure 1. Flow diagram illustrating the literature review process used in this study.

Results

Below is a comprehensive taxonomy of 58 stuttering theories arranged alphabetically (Table 1), outlining each theory's origins, manifestations, and treatments based on their framework, approach, and evidence level, with pertinent references and a ranking of research reliability.

- I. Systematic Reviews & Meta-Analyses of Multiple Studies;
- II. Randomized Control Trials (RCTs);
- III. Cohort/Observational Studies;
- IV. Case-Controlled Studies;
- V. Cross-Sectional Studies;
- VI. Case Studies, Reports & Series;
- VII. Anecdotal, Expert, or Personal Opinion.

To evaluate a stuttering theory, the process involved defining the theory, collecting relevant studies and data, and categorizing the findings based on evidence level, methodological quality, sample size, study design, bias, confounding factors, and statistical analyses. Two blinded raters confirmed interobserver reliability, resulting in a strong correlation coefficient (r: 0.94). The final rating for each theory is determined by its highest evidence level: strong for Level I, moderate for Levels II or III, low for Levels IV or V, and very weak for VI-VII.

In this study of 58 theories of stuttering, only the Lidcombe Theory/Program and Behavioral Theory received the highest evidence-level rating of I. The Multifactorial, Organic, Phonological, and Physiological theories were rated II. Theories related to Anticipatory Struggle, Attachment, Attribution, Cognitive Load, Communicative Failure, Bio-adaptive, Cybernetics, Familial Theory, and Developmental Crises received a rating of III. Theories on Cerebral Dominance, Chinese Medicine, Cross-Cultural Perspectives, EXPLAN, and Gender and Stuttering earned a rating of IV. The Evolutionary Theory, Diagnosogenic, Festingers, and Personal Construct Theory of Stuttering fell midway with a rating of V. Oriental theories such as Ayurveda, Unani, and Homeopathy, along with Action Theory, Conflict Theory, and Neurological theories, received the lowest ratings of VI-VII.

SNos	Title of Theory	Description	Reference	LOE	N
1	Action Theory	Disruptions in coordinating cognitive, linguistic, and motor functions cause speech interruptions, highlighting the fluidity of speech production and fluency.	[<u>11]</u>	VII	1
2	Anticipatory Struggle Theory	Individuals who stutter anticipate difficulty in speaking, which leads to increased tension and struggle during speech attempts. Highlights psychological aspects of stuttering.	[12][13]	III	2
3	Attachment & Clinging	Stuttering stems from insecure attachment styles, causing communication anxiety. This emotional reliance results in speech disruptions, highlighting difficulties in self-expression and relationships.	[14]	III	1
4	Attribution Theory	Focus on personal explanations and beliefs about the causes of stuttering. Labeling leads to stigma and identity issues, whereas attribution influences motivation, coping mechanisms, and emotional well-being.	<u>[15][16][17]</u>	III- IV	3
5	Ayurveda Theory	Stuttering, known as Gadgada, is associated with dosha imbalances (Vata, Pitta, Kapha). Holistic treatment includes lifestyle changes, dietary modifications, yoga, acupuncture, herbal remedies, and natural therapies to restore balance and enhance speech fluency. Sangama grahonmada, a subtype of rakshasa grahonmada, features interrupted voice and vocal tics, known as bhinna gadgada kantham	[<u>18][19][20][21]</u> [<u>22][23]</u>	VI- VII	6
6	Behavioral Theory	Stuttering (operant behavior) is learned through conditioning. Negative experiences and reactions to speech disfluencies reinforce avoidance behaviors (instrumental avoidance act), leading to increased stuttering over time through a cycle of anxiety-fear.	[24][25]	I-II	2

SNos	Title of Theory	Description	Reference	LOE	N
7	Bio-adaptive Theory	Speech disruptions arise from a combination of biological factors and adaptive responses as coping mechanisms to manage stress or environmental challenges affecting speech fluency.	[<u>26][27][28]</u>	III- IV	3
8	Capacities & Demands Theory	Stuttering occurs when the demands of speaking exceed an individual's cognitive and linguistic capacities, leading to breakdowns in fluency during communication.	[29][30][31][32] [33]	IV- VII	5
9	Cerebral Dominance or Orton-Travis Theory	Stuttering results from atypical brain lateralization, lack of cerebral dominance, or hemisphere imbalance, particularly in speech areas, disrupting communication and fluency. Forced left-handers tend to stutter more frequently.	[34][35][36][37] [38][39][40][41] [<u>42]</u>	IV- VII	9
10	Chinese Medicine Theory	Sees stuttering as a disruption of Qi, the body's vital energy, emphasizing balance through acupuncture, herbal remedies, and Qi Gong. Emotional well-being is vital for fluent speech, with studies showing Qigong's effectiveness in treatment.	[43][44][45]	IV- VII	3
11	Cognitive Load Theory	John Sweller in the 1980s, suggested that increased cognitive demands during speech can overwhelm working memory, leading to disruptions in fluency. Interventions aim to reduce cognitive load, facilitating smooth speech production and communication.	[46][47]	III- IV	2
12	Communicative Pressure Theory	Examines how social expectations and performance anxiety heighten disfluency	[48]	III- IV	1
13	Communicative Failure Theory	Results from a breakdown in the communication process, where individuals struggle to convey thoughts fluently due to anxiety, pressure, or negative experiences, leading to increased disfluency during speech.	[<u>49</u>]	III- IV	1

SNos	Title of Theory	Description	Reference	LOE	N
14	Conditioned Disintegration Theory	Arises from learned reactions to anxiety and negative speaking events, where initial disruptions increase fear and avoidance, creating a cycle that reinforces disfluency and highlights conditioning's impact.	[48]	VI- VII	1
15	Conflict Theory	Arises from a conflict between the desire to speak and fear of negative evaluation. This internal struggle leads to avoidance behaviors and increased speech disfluency, perpetuating the stuttering cycle.	<u>[50][51]</u>	VI- VII	1
16	Cybernetics Theory	Speech production is a feedback-controlled system. Dysregulation in feedback loops leads to inconsistencies in speech timing and coordination, resulting in stuttering. Increased anxiety leads to more stuttering, necessitating self-monitoring, and fluency shaping.	[52][53]	III- IV	2
17	Cross Cultural Theory	Cultural beliefs, values, and social norms influence perceptions and experiences of stuttering. Highlight variations in stigma, treatment approaches, and support systems across different cultures, affecting individuals' experiences and coping strategies.	[54][55]	IV	2
18	Developmental Crises Theory	Arises during critical developmental phases when children face challenges in language acquisition and social interactions, potentially leading to increased disfluency as they navigate these transitional periods in communication skills.	[<u>56]</u>	III- IV	1
19	Diagnosogenic Theory	William M. Johnson asserts that stuttering arises not from inherent speech difficulties but from negative reactions to normal disfluencies that create anxiety and self-awareness.	[57]	V- VI	1
20	Dysfluency Theory	Interruptions in speech are a natural part of communication. Stuttering occurs when these normal disfluencies are heightened by anxiety,	<u>[58]</u>	III- IV	1

SNos	Title of Theory	Description	Reference	LOE	N
		pressure, or environmental factors, disrupting fluent speech patterns.			
21	Evolutionary Theory	Speech disruptions have adaptive origins, serving as a form of communication that signals vulnerability or social status. Stuttering could reflect evolutionary mechanisms related to social cohesion and group dynamics in early human societies.	[<u>59][60</u>]	V	2
22	EXPLAN Theory	Peter Howell's "EXPLAN" (EXplanatory model) suggests that stuttering arises from a mismatch between the planning and execution of speech- when speech demands exceed the fluency capacity of the individual thereby leading to anticipatory anxiety.	<u>[61][62][63][64]</u>	IV- VII	4
23	Familial Theory	Charles D Van Riper suggested that genetic and environmental factors contribute to the disorder's prevalence within families. Hereditary predispositions, combined with family dynamics and communication patterns, influence the development and persistence of stuttering.	<u>[65][66][67][68]</u>	III- IV	4
24	Festinger's Theory	Based on cognitive dissonance, this theory posits that individuals face conflict between wanting to speak fluently and recognizing their disfluency, leading to anxiety that worsens stuttering and fosters negative self-perception	[69]	V- VII	_
25	Personal Construct Theory or Stuttering as a way of life	Views stuttering as a complex interaction of personal experiences, perceptions, and social contexts shaping their identity and communication strategies.	[70][71].	v	3
26	Gender Theory	Proposed by C. Michael T. J. L. K. Van Riper in the 1980s. Examine gender differences in stuttering prevalence and experiences, and highlight the impact of cultural perceptions, communication	[72]	III- IV	1

SNos	Title of Theory	Description	Reference	LOE	N
		styles, and socialization on stuttering behaviors and treatment.			
27	Genetic Theory	Hereditary factors and genetic predispose to this disorder. Can also affect brain structure and function to regulate speech fluency and motor control in individuals. Inbreeding, twin studies, and genetic linkage studies estimate a 2-3 times higher risk of inheriting stuttering in these probands than in the general population.	[73][74][75][76] [77][78][79][80] [81][82]	I- IV	10
28	Gestalt Theory	Propose that language is processed in holistic chunks rather than word-by-word sequentially. Stuttering is a complex interplay of cognitive, emotional, and linguistic factors. Highlight the importance of context in speech development.	<u>[83][84]</u>	IV	2
29	Developmental Tasks/Havighurst Theory	Highlight developmental tasks and milestones. Stuttering occurs when individuals encounter difficulties with speech expectations during key growth phases, resulting in anxiety and disfluency while striving for effective communication.	[85]	III- IV	1
30	Homeopathy Theory	Advocates for individualized treatment using diluted remedies and natural healing methods to address emotional factors underlying stuttering.	[86][87]	V- VII	2
31	Hormonal Theory	Hormone fluctuations during puberty or stress, influence speech fluency by affecting neurological functions and emotional regulation related to speech production.	[88][89][90][91] [92]	V- VII	5
32	Labeling Theory	Focus on the impact of societal labels and stigma on individuals who stutter. Negative labels reinforce disfluency, affect self-esteem, and influence communication behaviors, perpetuate the cycle of stuttering.	[93][94]	VI	2

SNos	Title of Theory	Description	Reference	LOE	N
33	Lidcombe Theory/Program	Is a behavioral intervention for young children who stutter. It focuses on positive reinforcement for fluent speech and involves structured practice, parental involvement, and regular assessments to promote the development of speech fluency.	[95][96][97][98] [99]	I	5
34	Linguistic Theory	Focus on the interplay between language processing and speech production. Disruptions arise from difficulties in integrating linguistic, phonological, and grammatical aspects, leading to breakdowns in fluency during complex speech tasks or language formulation.	[100]	III- IV	1
35	Mirror Neuron Theory	Deficits in mirror neuron systems hinder the ability to imitate and anticipate speech movements. This contributes to difficulties in fluency and coordination during speech production. Criticized for narrow focus of the theory, lack of direct evidence, and over generalization of the theory as applicable to explain everything including mental illness, lip reading, and continuous yawning.	<u>[101][102][103]</u> [<u>104]</u>	V- VI	4
36	Multifactorial Theory	Emphasize the interplay of genetic, neurological, environmental, and psychological factors. This approach seeks to understand stuttering's complexity beyond a single cause, promoting tailored interventions.	[105]	II- III	1
37	Neurochemical Theory	Serotonin, Dopamine, Nor epinephrine, GABA, and Glutamate are implicated in stuttering. This view faces criticism for insufficient evidence, oversimplification, variability neglect, and methodological flaws like small sample sizes and absent control groups.	[106]	V- VII	1
38	Neurological Theory	Neural anomalies involve significant deficits in brain timing networks located in the basal ganglia,	[107][108][109]	VI- VII	5

SNos	Title of Theory	Description	Reference	LOE	N
		cerebellum, premotor cortex, and right inferior frontal gyrus			
39	Neuropsychological Theory	Arises from atypical brain processing related to speech production. Neural connectivity, motor control deficits, and cognitive-linguistic challenges collectively disrupt fluent speech and communication patterns.	<u>[110</u>]	VI- VII	1
40.	Organic Theory	Historically, this theory has advocated for surgery as a treatment for stuttering, attributing it to physiological issues like structural abnormalities. These methods are uncommon, controversial, and now rejected.	[111]	II- III	1
41	Parenting Theory	Suggest parenting styles and communication patterns affect a child's speech development/ fluency.	<u>[112][113][114]</u>	VI- VII	3
42	Perseveration Theory	Arises from excessive persistence in speech patterns. Emphasize the role of cognitive processes, where individuals struggle to transition between speech elements, leading to repetitions and disruptions.	[<u>115][116][117]</u> [<u>118][119][120]</u> [<u>115]</u>	III	7
43	Personality Theory	Personality traits like anxiety, perfectionism, or introversion, predispose individuals to speech disruptions and influence communication styles, leading to increased self-consciousness and fear, which exacerbate stuttering behaviors. The most accepted is the "Anxiety-Personality" theory .	[<u>121][122][123]</u> [<u>60][124][125]</u> [<u>110]</u>	V- VII	8
44	Phonological Theory	Disruptions in speech arise from phonological processing difficulties, where challenges in organizing and producing sounds lead to increased disfluency when speaking.	[<u>126]</u>	II- III	1

SNos	Title of Theory	Description	Reference	LOE	N
45	Physiological Theory	Speech disruptions stem from neurological and physiological factors, including abnormal brain activity and motor control issues affecting speech production. Stuttering is explained as a form of physiological tremor.	<u>[127][128][129]</u>	II- III	3
46	Psychiatric Theory	Focus on underlying mental disorders. View stuttering as a symptom of broader psychological issues. In contrast, the psychological theory examines cognitive and emotional factors influencing speech, emphasizing behavior, anxiety, and learned responses rather than mental illness.	<u>[9][130][131][132]</u>	V- VI	3
47	Psychoanalytic Theory	emphasizes unconscious conflicts, repressed emotions, and childhood experiences. Stuttering is a manifestation of internal struggles, where speech disturbances reflect deeper psychological issues, often linked to anxiety or unresolved trauma	<u>[133][134]</u>	V- VI	2
48	Psychodynamic Theory	focus on broader emotional processes and relationships, including current dynamics influencing speech and behavior.	[135][136][137] [138]	V- VI	4
49	Self and other perception	Explore how individuals perceive themselves and how they believe others perceive them. Negative self-perception and fear of judgment can exacerbate stuttering, influencing communication behaviors and reinforcing anxiety in social interactions.	[<u>139][140][141]</u>	V- VII	3
50	Servo Theory of Motor Control	Proposed by Norbert Weiner, considered as father of Cybernetics, in 1948. Emphasised motor control in speech production, suggests that speech disruptions occur due to impaired feedback mechanisms, affecting the brain's ability to monitor and adjust speech movements for fluency.	[142][52]	III- IV	2

SNos	Title of Theory	Description	Reference	LOE	N
51	Semantogenic Theory	Stuttering is connected to language meanings, development, and emotional experience, stemming from the interplay between language development and emotional experiences. Highlights how speech meanings induce anxiety, causing disfluencies and communication breakdowns	[143]	III- IV	1
52	Stuttering in Sign Language/Non- Verbal Communication	Appear as hesitations, repetitions, or non-verbal interruptions. These disfluencies, like in spoken Communication language, can result from cognitive load, anxiety, or social pressures, highlighting the need to understand non-verbal communication dynamics.	Available as Unpublished Masters or Doctoral Dissertations only	V- VII	_
53	Theory of Gadgetry	In the 1940s, LAH Van Riper proposed various technological tools to improve speech techniques for individuals who stutter. These methods focus on reducing tension, extending sounds, using strategic pauses, and incorporating rhythmic patterns. Since the 2010s, numerous devices have emerged for diagnosing and treating stuttering. Tools like Speech Analysis Software evaluate speech patterns, while smartphone apps like "Speech4Good" provide exercises and feedback. Additionally, VR tools and noise-cancelling headphones foster supportive environments, and therapy robots help children practice. Brain stimulation and the OASES assessment tool evaluate stuttering from the speaker's perspective.	[144][145]	III- IV	2
54	Theory of Primary- Secondary Stuttering	Distinguishes involuntary disfluencies and learned coping mechanisms developed in response to the challenges of primary stuttering, often exacerbating the condition.	[146]	III- IV	1

SNos	Title of Theory	Description	Reference	LOE	N
55	Theory of Terminal Fluency	Anecdotal reports show individuals have experienced temporary relief from stuttering just before death.	[147]	VII	1
56	Unani Theory	Connects stuttering to humor imbalances, promoting natural remedies, dietary practices, and lifestyle changes. Views luknat or stammering as owing to flaccidity of tongue or Waja-ul-Lisan.	[<u>148]</u>	VI- VII	1
57	West's Ictocongenital Hypothesis	posits congenital neurological abnormalities disrupt speech processing and motor control, leading to fluency issues and stuttering episodes in affected individuals. manifests as dyssynergies of motor mechanisms related to "pyknoepilepsy," "phemolepsy," or "speech epilepsy"	[149][150][150] [151][152][153] [154][155]	III- IV	8
				I	155

 Table 1. Taxonomy on Theories of Stuttering

Discussion

The results of this study highlight a significant disparity in the evidential support for various stuttering theories. The Lidcombe Theory/Program and Behavioral Theory, both rated at evidence level I, demonstrate robust empirical backing, indicating their effectiveness in clinical practice. In contrast, theories such as Multifactorial and Organic received a lower rating of II, suggesting that while they offer valuable insights, they may lack comprehensive empirical validation. Theories rated III and IV, including those related to Anticipatory Struggle and Gender, reflect emerging perspectives but require further investigation to enhance their credibility. Notably, theories with evidence ratings VI-VII, including traditional approaches like Ayurveda and Homeopathy, indicate a need for critical evaluation and more rigorous research. Overall, these findings emphasize the importance of prioritizing evidence-based practices in stuttering interventions and encourage further exploration of underrepresented theories to enrich understanding and treatment approaches.

Ideally and expectedly, every theory, paradigm, or model of stuttering has covered a brief description and an overview of their historical context and evolution, their key themes, evaluation of strengths and limitations regarding their level of acceptance for interdisciplinary perspectives, accommodation for different social-cultural perspectives, explanatory power and clinical adaptability, empirical supports, and power of generalization along with the potential for lending future directions^[156]. Does the field of stuttering research need a theory at all, or a trans-theoretical model? This is a question that can be raised^[157]. Can the field of stuttering research be relegated to an atheoretical discipline^[158]?

Summary

The history of stuttering research has evolved significantly, moving from absurd beliefs like possession by spirits or punishment for moral failings to oversimplified explanations such as "tangled" vocal cords. It has also included unfounded claims linking stuttering to diets, genetic mutations, lack of willpower, childhood bilingualism, trauma, anxiety, and personality traits. Ultimately, the idea that stuttering results from a lack of will ignores its complexity.

Recommendations

There is an urgent need for clear taxonomic criteria to categorize models and theories, ensuring consistency in the review process. Utilizing various databases will help gather a comprehensive range of literature on stuttering from multiple disciplines. A historical overview of stuttering theories is essential for contextualizing current models. Assessing each model's strengths and weaknesses is necessary, while contributions from psychology, linguistics, and neuroscience will enhance understanding and identify research gaps. Interdisciplinary collaboration and peer feedback are crucial for accuracy and comprehensiveness.

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