Commentary

Publishing Test-Ready Hypotheses When Experiments Are Out of Reach

Maxwel Adriano Abegg¹

1. Institute of Exact Sciences and Technology (ICET), Graduate Program in Science, Technology and Health (PPGCTS), Federal University of Amazonas (UFAM). Brazil

Many promising ideas never reach experimentation—particularly where resources are scarce. This Opinion advances a conditional, idea-first track for publishing test-ready hypotheses when in-house or collaborative experiments are infeasible or not progressing. "Test-ready" denotes a clearly specified problem, a literature-grounded rationale, explicit falsifiable predictions, at least one feasible thirdparty test, a brief ethics/dual-use appraisal, an explicit open license, and a good-faith novelty scan. We outline a practical pathway that pairs preprints (for priority, feedback, and versioning) with optional defensive publication to preserve freedom to operate when patenting is impractical. Generative AI can assist, for example, by mapping analogies across fields, probing near-neighbors, stress-testing plausibility, and suggesting alternative test designs that originators may not foresee—provided its use is disclosed, all claims and citations are human-verified, and uncertainty is handled through transparent prompting and aggregation protocols. To respect limited reviewer bandwidth as manuscript throughput rises, we suggest simple, fast triage and purpose-built quality checklists for idea-first submissions—without imposing a single universal metric. Positioned upstream of Registered Reports, test-ready idea papers can shorten the path from insight to evidence while recording provenance and discouraging enclosure. The aim is to move credible, citable hypotheses from overlooked notebooks into the hands of groups able to test them.

Correspondence: <u>papers@team.qeios.com</u> — Qeios will forward to the authors

Introduction

Across disciplines, valuable concepts often stall before any experiment begins. This is especially acute in resource-limited contexts: compelling ideas remain in notebooks because access to instrumentation,

specialized expertise, or funding is constrained. From this perspective, we propose a conditional, ideafirst route to disclosure when in-house testing or external collaborations are infeasible or not advancing. For ideas that would otherwise remain dormant, open, quality-controlled publication can determine whether a concept is lost to obscurity or becomes a testable program. Scientific contribution is not synonymous with managing a laboratory: a researcher who excels at problem framing and hypothesis formulation can, in some cases, contribute more by generating clear, falsifiable ideas than by running day-to-day operations—provided those ideas meet stringent standards and are made available for others to test.

This paper advances a conditional approach: concise, well-reasoned hypothesis or concept papers that register provenance, invite testing by capable groups, and (optionally) use defensive publication to keep implementations open. It is not an assertion that all ideas should be disclosed. Rather, it targets a specific case: when authors cannot feasibly test a hypothesis now, publishing a test-ready, peer-reviewed concept benefits society—and attribution—more than allowing the idea to fade without record. Timely disclosure of well-argued, test-ready hypotheses can also prevent duplication of effort on ideas with fundamental flaws and accelerate collaboration by attracting teams with complementary expertise and infrastructure. In brief, we offer a practical framework for deciding when and how to disclose test-ready hypotheses in the absence of in-house experimentation, pairing a readiness checklist and open licensing with optional defensive publication, a Stage-0-to-Registered Reports pathway, equity-minded provisions for LMIC contexts and reviewer capacity, and transparent, human-accountable use of generative AI to map analogies and stress-test plausibility. Without prescribing metrics, journals may develop fit-for-purpose instruments to assess the quality of idea-first submissions while maintaining rigorous standards.

What Should Be Publishable Without New Data?

Consistent with the conditional rationale above, an idea paper (without new data) is not a promotional document. It should include: (1) a clearly specified problem or gap; (2) a plausible mechanism or rationale grounded in existing literature; (3) explicit, testable predictions with falsification criteria; (4) one or more feasible test designs that a third party could realistically perform; (5) a brief appraisal of risks and ethics (including potential dual use); (6) an explicit license (e.g., CC BY) with DOI-based timestamping; and (7) a concise, realistic assessment of novelty and initial translational plausibility, including how the author searched for near-neighbors (manual and/or AI-assisted) [1][2][3]. Authors should also add a succinct "reasons this could be wrong" subsection stating plausible failure modes, boundary conditions, and key

assumptions, and include a brief status-of-evidence statement in the abstract (e.g., "Hypothesis/Opinion; no primary data; test design proposed") to aid correct interpretation. Peer review—classical or open—should remain integral to guard against low-quality speculation. Some venues already accept idea-centric formats (e.g., post-publication peer review; hypothesis-oriented journals). Many generalist journals, however, still prioritize positive results, reflecting persistent biases against null findings [1][2].

Ethics/Dual-Use appraisal (short example). This hypothesis article presents no primary data or materials. Potential risks include premature clinical extrapolation and dual-use misuse (e.g., exacerbating antimicrobial resistance). We mitigate these by (i) explicit hypothesis labeling and non-recommendation language; (ii) omitting enabling protocols or materials; (iii) stating clear go/no-go boundaries and plausible failure modes; and (iv) aligning with institutional biosafety and regulatory norms where applicable.

Scope and limits. This route is not appropriate when (a) immediate proprietary protection is essential and the team has a credible plan and resources to pursue it; (b) the dual-use or public-health risk profile is high and cannot be mitigated by non-enabling disclosure; or (c) the proposal lacks specificity (no falsifiable predictions or feasible tests).

Lowering Friction for Testable Ideas

The open-access movement reduced barriers to reading results; here the friction concerns access to testable ideas. The aim is to establish legitimate, searchable channels—such as preprints with DOIs, journals that welcome high-quality hypotheses, and prior-art repositories—so qualified teams can discover, credit, and test ideas that originators cannot execute. Preprints concentrate attention and feedback in one citable location, avoiding dispersion across personal websites or lightly indexed repositories. Evidence from preprint analytics indicates that DOIs and centralized platforms enhance tracking, uptake, and downstream publication [4][5][6]. Major publishers should explicitly include hypothesis/concept formats in journal scopes, with review criteria emphasizing plausibility, falsifiability, and implementability. Complementary open-research artifacts—timestamped research notes or electronic lab notebooks and preregistered protocols—can host early methodological sketches and planned tests, linking back to the idea DOI while avoiding overstatement of evidentiary status.

Public Domain vs Proprietary: Defensive Publication as a Civic Option

Patents remain strategically important, yet many innovators cannot pursue or enforce them. Defensive publication (also "defensive disclosure") provides a principled alternative: disclose sufficient technical detail so the idea becomes prior art, preserving freedom-to-operate and discouraging exclusive enclosure. Historically, technical disclosure bulletins and, more recently, digital repositories have served this role. Defensive publication does not yield royalties; rather, it records provenance, enables broad implementation, and aligns with a public-interest ethos when patenting is not feasible. Freedom to operate refers to the ability to practice or commercialize a concept in a given jurisdiction without infringing valid third-party intellectual property; defensive publication can expand future freedom-to-operate by preventing issuance of later blocking patents, though it does not clear existing rights.

Defensive publication—practical checklist. Include (i) a descriptive title with DOI and date; (ii) problem \rightarrow technical principle/architecture \rightarrow explicit predictions \rightarrow feasible third-party tests at a high level; (iii) main variants and parameter ranges that define the scope; (iv) limitations, "reasons this could be wrong," and a concise ethics/dual-use note; and (v) an open license (e.g., CC BY) plus a non-recommendation disclaimer where relevant.

Why Patent-First Can Stall Ideas Under Constraint

Patent prosecution requires time and resources. For underfunded groups, maintaining secrecy while "waiting to patent" can suppress collaboration and momentum. Even granted patents may lapse or remain underexploited without funds for development and enforcement. In Brazil, for example, initial filing costs may be manageable, yet the technical data typically expected for a robust definitive filing—especially for complex devices—often demand experiments and prototypes that are financially out of reach. A paired approach—open idea paper plus defensive publication—can move promising concepts into capable hands more rapidly while preserving attribution and keeping downstream implementations open.

APC Inequities, Reputational Gatekeeping, and Discovery Gaps

Article Processing Charges can be prohibitive for authors in low- and middle-income countries and for early-career researchers; waivers exist but are uneven. Agenda-setting venues for conceptual pieces are

frequently invitation-only or heavily triaged, creating reputational barriers. Discoverability is also a problem: researchers with limited infrastructure sometimes post ideas on lightly indexed platforms that remain invisible to potential implementers. A practical response combines: (i) preprints as an initial home (with DOI, feedback, and zero APC); and (ii) a concerted appeal for reputable journals to accept and rigorously review concept or hypothesis papers—ensuring indexation and discoverability beyond ad hoc repositories. Publishers' fee-assistance examples include PLOS's Publication Fee Assistance program and BMC's geographic waivers/discounts [7][8]. Preprint-level analytics and altmetric tools provide early, albeit imperfect, signals of attention and diffusion [4][5][6].

Generative AI as a Tool for Democratization (with Guardrails)

For isolated or under-resourced researchers, large language models (LLMs) and related tools can reduce the cognitive effort required to turn preliminary intuitions into test-ready hypotheses. Properly used, they help map analogies across fields, surface variant hypotheses and edge cases, draft structured synopses (claim \rightarrow predictions \rightarrow tests \rightarrow risks), check literature coverage and near-neighbors, and prioritize ideas most likely to be testable elsewhere—functions that can promote equity. Recent work positions LLMs as probabilistic, imperfect experts that can be integrated with data and human oversight to support causal hypothesis generation in resource-limited settings [9]. Practically, this involves (i) querying LLMs on narrow questions while logging confidence; (ii) aggregating answers via majority or weighted voting with calibration checks; and (iii) merging these signals with data-driven discovery under explicit uncertainty. To minimize overclaiming, we recommend four guardrail axes—reliability, consistency, uncertainty, and content-versus-reasoning—plus model-agnostic benchmarks that stress-test LLM contributions before incorporation into real projects [10].

Ethical boundary conditions. Editorial guidance converges on three principles: AI systems cannot be credited as authors; any AI use must be transparently disclosed (model/version and purpose); and humans retain full accountability for accuracy, originality, ethics, and legal obligations, including verification of facts and citations [111][12][13][14]. Within these boundaries, it is acceptable—and often beneficial—for AI tools to suggest methodological alternatives or experimental designs that did not initially occur to the author, provided feasibility, safety, and applicability are critically appraised before dissemination. Publishing the prompting and aggregation recipe (batch size, decision rule, uncertainty handling) makes the reasoning pipeline auditable end-to-end.

Role Specialization: The AI-Assisted Conceptual Scientist

Is an "AI-assisted conceptual scientist" a scientist in the conventional sense? Under the norms proposed here, yes: if the outputs are testable hypotheses with explicit predictions and falsification criteria, grounded in literature, and subjected to peer review, this constitutes a legitimate scholarly specialization. Contemporary science already recognizes roles that are primarily theoretical, computational, or methodological. In high-constraint environments, focusing on problem framing, mechanistic design, and auditable articulation of ideas can yield greater leverage than operating a laboratory.

Incentives and Adoption: Why Would a Laboratory Test an Externally Originating Idea?

Well-resourced groups rarely search preprints for externally originating hypotheses to test; they follow internal agendas, established collaborations, and aligned funding. Stratification and reputation shape which voices are heard [15], and an overabundance of information creates a scarcity of attention. Two practical responses are clear signals (journal-hosted Idea Sections with strict triage; concise, structured formats) and assured credit (implementers cite the idea DOI and receive citable credit for the first rigorous test), aligned with Registered Reports to secure publication regardless of outcome [16]. Notably, empirical analyses associate preprinting with higher downstream uptake: one study found that journal articles with an associated preprint accrued, on average, 36% more citations than those without (controlling for confounders) [17].

Risks, Credit, and the Matthew Effect (with Spillovers)

Concerns about premature disclosure include loss of priority, misuse, or dilution of credit. This dynamic resonates with the Matthew Effect in science, wherein recognition tends to accrue to established groups ^[15]. Nevertheless, validated knowledge often generates spillovers: methods, datasets, and products diffuse and benefit broader communities—including, indirectly or directly, the originator—even when formal credit is uneven. Beyond priority and credit, a practical risk is the misinterpretation of untested ideas as established findings—by both the public and time-constrained researchers. We therefore recommend four safeguards: (i) unambiguous labeling in the title and abstract ("Hypothesis"/"Concept"), (ii) a short status-of-evidence box at first mention, (iii) non-

prescriptive/clinical language with an explicit non-recommendation disclaimer where relevant, and (iv) journal guidance for press/outreach that clearly separates conjecture from evidence.

Capacity Constraints and Reviewer Availability

Since late 2022, widespread adoption of generative-AI tools has reduced friction in drafting and organizing manuscripts, contributing to increased throughput in parts of the literature. Editors concurrently report intensifying reviewer scarcity and fatigue, with more invitations required per completed review and lengthening timelines [18][19][20]. Against this backdrop, when an idea is (i) immediately testable by third parties, (ii) plausibly translational, and (iii) genuinely novel, an idea-first paper can be a high-yield use of peer-review capacity—because it can catalyze concrete first tests and social return—provided ethical, licensing, and transparency guardrails are in place.

Registered Reports: Positioning Idea Papers as Stage 0

Registered Reports evaluate theory and methods before data collection; accepted Stage-1 manuscripts are published regardless of outcome $^{[16]}$. Idea papers can function as Stage 0: once an implementing team adopts a published idea, the next step is to develop a Stage-1 Registered Report. This pipeline clarifies falsification criteria from the outset and counteracts publication bias.

Examples

Contemporary, idea-first disclosures illustrate how transparent, test-ready hypotheses can mobilize external implementation. Two recent conceptual proposals by the present author—an ultrasound-responsive microbubble system to recondition infected niches and potentiate antibiotics ^[21], and a field-deployable aNP-TRAP platform for in situ cultivation coupled to functional detection of antimicrobial activity ^[22]—are structured to enable future mobilization by external groups (that is the author's hope), though implementation is still pending. Historical precedents in biology include Margulis's endosymbiosis hypothesis—a bold, testable proposal that reinterpreted the origin of eukaryotic organelles and was subsequently corroborated by multiple lines of evidence ^[23]—and the Jacob–Monod model of gene regulation, which framed coherent, falsifiable propositions that catalyzed decades of experimentation ^[24].

Policy Suggestions for Journals and Peer Venues

Journals and peer venues could (i) recognize idea-first submissions when in-house or collaborative experimentation is infeasible and require core elements (predictions, falsification criteria, risk/ethics, and a novelty/translationality scan); (ii) offer an opt-in pathway to deposit defensive publications in recognized prior-art repositories; (iii) support lightweight, post-deposit community signals to surface plausibility before full review; (iv) adopt fit-for-purpose, differentiated quality-assessment instruments while avoiding over-interpretation of altmetrics as proxies for quality [4][5][6][25][26]; (v) encourage standardized labeling ("Hypothesis/Concept" in titles and status-of-evidence statements in abstracts); (vi) invite funders and promotion bodies to recognize citable idea DOIs, preregistrations, and defensive publications as legitimate research outputs; (vii) reduce access frictions via progressive fee policies and waivers for LMIC and early-career authors [7][8]; (viii) publish machine-readable AI-use policies with automated checks for unverifiable claims/citations [11][12][13][14]; and (ix) broaden editorial scope so reputable journals host hypothesis/concept work under robust review with assured discoverability and indexation.

Conclusion

For scientists working under persistent constraints—including limited infrastructure and fragile or slow-moving collaborations—there is moral and practical value in formulating testable, well-argued hypotheses and publishing them through the workflow outlined here. Choosing to disclose a carefully prepared, test-ready concept can transform a private intuition into a public good: it records provenance, enables others to try first tests, and increases the odds that worthy ideas contribute to human knowledge rather than disappearing in silence. In this sense, dedicating time to craft and share rigorously framed hypotheses—when direct testing is out of reach—is both an altruistic act and a legitimate path of scientific contribution.

Author drafts test-ready idea

Preprint

(DOI, feedback, versioning)

Optional Defensive publication

- ✓ prior art
- √ freedom-to-operate
- ✓ license
- √ novelty scan

Journal submission

- **Q** Idea Section triage
- **Registered Reports**

Post-publication curation

- open reviews
- derivatives
- → indicators →

Al guardrails - use throughout

Figure 1. Open-idea workflow: Draft \rightarrow Preprint (DOI, feedback, versioning) \rightarrow [Optional] Defensive publication \rightarrow Journal submission \rightarrow Post-publication curation (open reviews, derivations, limited indicators).

Statements and Declarations

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Conflicts of Interest

The author declares no conflict of interest.

Ethics Statement

Not applicable (no human participants, animals, or proprietary datasets).

Data and Materials Availability

Not applicable. All sources mentioned are publicly accessible.

AI-Assistance Statement

Large language models—used under the author's direction for literature organization, drafting, language editing, consistency checks, and assistance in generating the figure—included ChatGPT-5 Thinking, Gemini 2.5, Grok-4, and DeepSeek V3.1. All conceptual content was human-verified by the author.

Author Contributions

MAA conceived the viewpoint; integrated literature and community practices; wrote the manuscript with AI assistance for literature organization, drafting, connective phrasing, and language editing; and approved the final version.

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